

**Pioneer Wealth Management Services Ltd. – SEBI Registered Investment Advisor (SEBI  
Reg. no. INA000017259) Investor Grievance Data**

**1. Data for the month ending- July, 2026**

| Sr.<br>No.   | Investor<br>Complaints<br>received<br>from | Pending<br>as at the<br>end of<br>the last<br>month | Received   | Resolved<br>* | Total<br>Pending<br># | Pending<br>complaints<br>> 3months | Average<br>Resolution<br>time ^<br>(in days) |
|--------------|--------------------------------------------|-----------------------------------------------------|------------|---------------|-----------------------|------------------------------------|----------------------------------------------|
| 1            | Directly<br>from<br>Investors              | Nil                                                 | Nil        | Nil           | Nil                   | Nil                                | Nil                                          |
| 2            | SEBI<br>(SCORES)                           | Nil                                                 | Nil        | Nil           | Nil                   | Nil                                | Nil                                          |
| 3            | Other Sources<br>(if any)                  | Nil                                                 | Nil        | Nil           | Nil                   | Nil                                | Nil                                          |
| <b>Total</b> |                                            | <b>Nil</b>                                          | <b>Nil</b> | <b>Nil</b>    | <b>Nil</b>            | <b>Nil</b>                         | <b>Nil</b>                                   |

^Average Resolution time is the sum total of time taken to resolve each complaint in days in the current quarter divided by total number of complaints resolved in the current quarter.

\* Inclusive of complaints of previous months resolved in the current month

#Inclusive of complaints pending as on the last day of the month.

**2. Trend of monthly disposal of complaints**

| Sr.<br>No. | Month          | Carried forward from<br>previous month | Received | Resolved | Pending |
|------------|----------------|----------------------------------------|----------|----------|---------|
| 1.         | January, 2026  | Nil                                    | Nil      | Nil      | Nil     |
| 2.         | February, 2026 | Nil                                    | Nil      | Nil      | Nil     |
| 3.         | March, 2026    | Nil                                    | Nil      | Nil      | Nil     |
| 4.         | April, 2026    | Nil                                    | Nil      | Nil      | Nil     |
| 5.         | May, 2026      | Nil                                    | Nil      | Nil      | Nil     |
| 6.         | June, 2026     | Nil                                    | Nil      | Nil      | Nil     |

|                    |            |            |            |            |            |
|--------------------|------------|------------|------------|------------|------------|
| 7.                 | July, 2026 | Nil        | Nil        | Nil        | Nil        |
| <b>Grand Total</b> |            | <b>Nil</b> | <b>Nil</b> | <b>Nil</b> | <b>Nil</b> |

### 3. Trend of annual disposal of complaints

| Sr. No.            | Year    | Carried forward from Previous year | Received   | Resolved   | Pending    |
|--------------------|---------|------------------------------------|------------|------------|------------|
| 1.                 | 2023-24 | Nil                                | Nil        | Nil        | Nil        |
| 2.                 | 2024-25 | Nil                                | Nil        | Nil        | Nil        |
| 3.                 | 2025-26 | Nil                                | Nil        | Nil        | Nil        |
| 4.                 | 2026-27 | Nil                                | Nil        | Nil        | Nil        |
| <b>Grand Total</b> |         | <b>Nil</b>                         | <b>Nil</b> | <b>Nil</b> | <b>Nil</b> |

**4)To register a formal complaint with the Company, please write to [riacompliance@pinc.co.in](mailto:riacompliance@pinc.co.in) with your following details:**

- i) Full name
- ii) Registered mobile number
- iii) PAN card
- iv) Nature of complaint

(On clicking the email id- the link will give direct access to mail from there, investor will mail mentioning above details)

**5) SCORES website link <https://scores.sebi.gov.in>**

(On Clicking SCORES it will take directly to website to lodge the complaint)

**6) SMART ODR Link <https://smartodr.in/login>**